

Case Docket No. HORWOOD,001C2

Date: December 18, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Horwood, et al.

Appl. No.

10/606,605

Filed

June 26, 2003

For

PORTABLE SPA

Examiner

Charles Phillips

Group Art Unit:

3751

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 18, 2003

(Date)

Craig S. Summers, Reg. No. 31,430

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with Thirteen (13) references listed, but not enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Craig S. Summers

Registration No. 31,430

Attorney of Record

Customer No. 20,995

(949) 760-0404

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INFORMATION DISCLOSURE STATEMENT

Applicant

Horwood, et al.

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10/606,605

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Charles Phillips

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3751

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing thirteen (13) references that were previously disclosed to or cited by the Patent and Trademark Office in the prosecution of U.S. patent application No. 10/137,929, filed May 2, 2002, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: /2/18/03

By:

Craig S. Summers

Registration No. 31,430

Attorney of Record

Customer No. 20,995

(949) 760-0404

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. HORWOOD.001C2 APPLICATION NO. 10/606,605

ORMATION DISCLOSURE STATEMENT
BY APPLICANT

SEVERAL SHEETS IF NECESSARY)

APPLICANT Horwood, et al.

FILING DATE June 26, 2003 GROUP 3751

U.S. PATENT DOCUMENTS NOT ENCLOSED							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
·	1	3,555,575	01/19/71	SCHWARTZ ET AL.			
	2	4,019,210	04/26/77	PATTERSON ET AL.			
	3	4,110,852	09/05/78	KLINE			
	4	4,142,337	03/06/79	HOLCOMB			
	5	4,233,694	11/18/80	JANOSKO ET AL.			
11	6	4,661,247	04/28/87	WEIR ET AL.			
	7	5,056,167	10/15/91	CHOLLEY			
	8	5,199,116	04/06/93	FISCHER			
	9	5,224,224	07/06/93	HINTZ ET AL.			
	10	5,236,581	08/17/93	PERRY			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
		<u> </u>				-	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	11 The Hot Spring Spa brochure from Watkins Manufacturing Corp. (1982)				
	12 The Life Style brochure from Discovery Corporation (date unknown)				
	13 HotSpring Portable Spas brochure from HotSpring Portable Spas (1993)	· · · · · · · · · · · · · · · · · · ·			

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EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.